# **NYS Health Connector**

## 5-Star Health Plan Quality Ratings Dashboard

Overview

Office of Quality and Patient Safety Division of Information and Statistics Division of Quality Measurement

Last Reviewed: July 2023

#### Introduction

Managed care plans provide health services to millions of New Yorkers. Choosing a health plan that meets your needs and the needs of your family is an important decision. The goal of this dashboard is to help you find out more about the quality of care offered by different health plans so that you can make an informed decision.

#### **Dashboard Data Sources**

The quality ratings in this dashboard come from information submitted by the health plans and reflect data from calendar years 2020 and 2021. Public Health Law (Article 29-D Section 2995) stipulates the collection of health care data for the purposes of increasing the information available to patients about health care providers and health care plans, and improving the quality of health care in this state, by creating a statewide health information system, collecting health information for dissemination by means of such system, and studying additional uses of such information. The New York State Department of Health (NYSDOH) collects the health care data through an annual public reporting system called the Quality Assurance Reporting Requirements (QARR). Managed care organizations (licensed pursuant to Article 44) and preferred provider organizations (licensed pursuant to Article 32, 43 or 47) must report all applicable QARR measures annually.

QARR is largely based on measures of quality established by the National Committee for Quality Assurance (NCQA) Healthcare Effectiveness Data and Information Set (HEDIS®) with New York State-specific measures added to address public health issues of particular importance in New York. QARR also includes information collected using a national satisfaction survey methodology called CAHPS® (Consumer Assessment of Healthcare Providers and Systems). CAHPS data are collected every year for commercial enrollees. The NYSDOH sponsors a CAHPS survey for Adult and Child Medicaid Managed Care enrollees in alternate years.

Managed care health plans follow three sets of specifications when preparing QARR:

- HEDIS® Volume 2: Technical Specifications
  - For more information on the technical specifications for HEDIS® Volume 2 see NCQA website (www.ncqa.org).
- Quality Assurance Reporting Requirements Technical Specifications Manual, and
  - For more information on the QARR Technical specifications see the NYSDOH website (https://www.health.ny.gov/health\_care/managed\_care/qarrfull/qarr\_2022/docs/technical\_specifications.pdf).
- CAHPS specifications
  - For more information on the CAHPS survey methodology see the AHRQ website (http://www.cahps.ahrq.gov/).

#### **Domains of Care**

The Managed Care plans in this dashboard are given a star rating across ten domains of care, with 5 stars indicating the best performance. Each domain contains a group of quality measures. The domains of care are:

Adult Care

- Behavioral Health
- Cardiovascular Care
- Care for Respiratory Conditions
- Child and Adolescent Care
- Diabetes Care
- Experience with Adult Care
- Experience with Children's Care(Medicaid and Child Health Plus only)
- Maternal Care
- Women's Preventive Care

### Measures

Overlife Management	Commercial	Medicaid	Description
Quality Measures	HMO/POS/PPO	MMC/CHP	Description
		Domain: Child and	Adolescent Care – 6 measures
Well-Child Visit in the First 30 Months of Life	✓	✓	The percentage of members who turned 15 or 30 months old during the measurement year, who had the recommended number of well-child visits during the last 15 months.
Child and Adolescent Well-Care Visits	✓	<b>✓</b>	The percentage of members, ages 3 to 21 years, who had at least one well-care visit during the measurement year.
Annual Dental Visit (2–18 years old)	Not Reported	✓	The percentage of children and adolescents, ages 2 to 18 years, who had at least one dental visit within the measurement year.
Childhood Immunization – Combination 3	✓	✓	The percentage of members, age 2 years, who were fully immunized. The HEDIS specifications for fully immunized consists of the following vaccines: four diphtheria, tetanus and acellular pertussis (DTaP); three polio (IPV); one measles, mumps and rubella (MMR); three haemophilus influenza type B (HiB); three hepatitis B (HepB), one chicken pox (VZV); four pneumococcal conjugate (PCV); one hepatitis A (HepA); two or three rotavirus (RV); and two influenza (flu) vaccines by their second birthday.
Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents (Composite)	✓	<b>√</b>	The percentage of children and adolescents, ages 3 to 17 years, who had an outpatient visit with a PCP or OB/GYN practitioner during the measurement year, receiving the following three components of care during the measurement year: BMI percentile, counseling for nutrition, and counseling for physical activity. The three indicators are combined as a weighted average for calculating the Consumer Guide star rating.
Immunizations for Adolescents – Combination 2	✓	✓	The percentage of adolescents 13 years of age who had one dose of meningococcal conjugate vaccine, one tetanus, diphtheria toxoids and acellular pertussis (Tdap) vaccine, and have completed the human papillomavirus (HPV) vaccine series by their 13th birthday.
		Domain: Women's	Preventive Health – 3 measures
Breast Cancer Screening	✓	✓	The percentage of women, ages 50 to 74 years, who had a mammogram anytime on or between October 1 two years prior to the measurement year and December 31 of the measurement year.
Cervical Cancer Screening	✓	✓	The percentage of women, ages 24 to 64 years, who had cervical cytology performed every 3 years or women, ages 30 to 64 years, who had cervical cytology/human papillomavirus (HPV) cotesting performed every 5 years.
Chlamydia Screening (Ages 16–20 and Ages 21–24) (Composite)	✓	<b>√</b>	The percentage of sexually active young women, ages 16 to 24 years, who had at least one test for chlamydia during the measurement year. The measure is reported separately for ages 16 to 20 years and 21 to 24 years, but the two indicators combined as weighted average for calculating the Consumer Guide star rating.
Domain: Maternal Health – 2 measures			
Postpartum Care	✓	✓	The percentage of women who gave birth in the last year who had a postpartum care visit between 21 and 56 days after they gave birth.

Timeliness of Prenatal Care	✓	✓	The percentage of women who gave birth in the last year who had a prenatal care visit in their first trimester or within 42 days of enrollment in their health plan.
		Domain: Adult Car	e – 3 measures
Colorectal Cancer Screening	✓	✓	The percentage of adults, ages 50 to 75 years, who had appropriate screening for colorectal cancer.
Flu Shots for Adults	✓	✓	The percentage of members, ages 18 to 64 years, who have had a flu shot. This measure is collected as part of the CAHPS survey and is calculated as a two -year rolling average for commercial results.
Medical Assistance with Tobacco Cessation (Composite)	✓	✓	The percentage of members, ages 18 years and older, who are current smokers or tobacco users and who received medical information about smoking or tobacco use cessation within the last 12 months from a health care provider. This measure is collected as part of the CAHPS survey and is calculated as a two year rolling average for commercial results. The measure includes three indicators: Advising smokers to quit, discussing cessation medications, and discussing smoking cessation strategies. The three indicators are combined as a weighted average for calculating the Consumer Guide star rating.
		<b>Domain: Care for F</b>	Respiratory Conditions – 2 measures
Asthma Medication Ratio	✓	✓	The percentage of members, ages 19 to 64 years, who were identified as having persistent asthma and had a ratio of controller medications to total asthma medications of 0.50 or greater during the measurement year.
Use of Spirometry for COPD	✓	✓	The percentage of members, ages 40 years and older, with a new diagnosis of COPD or newly active COPD, who received spirometry testing to confirm the diagnosis.
		Domain: Diabetes	Care – 3 measures
Eye Exam (retinal)	✓	<b>√</b>	The percentage of members with diabetes who had a retinal eye screening exam during the last year or who had a negative retinal exam in the year prior.
Diabetes Screening for People with Schizophrenia or Bipolar Disorder Who	Not Reported	<b>√</b>	The percentage of members, ages 18 to 64 years, with schizophrenia or bipolar disorder who were dispensed an antipsychotic medication and had a diabetes screening test during the measurement year.

Are Using Antipsychotic Medications			
HbA1c Poor Control (>9%)	✓	<b>✓</b>	The percentage of members with diabetes whose most recent HbA1c level indicated poor control (>9.0 percent). <i>A low rate is desirable for this measure.</i>
		Domain: Cardiova	scular Care – 2 measures
Statin Therapy for Patients with Cardiovascular Disease (Adherent)	✓	<b>√</b>	The percentage of female members, ages 40 to 75 years, and male members, ages 25 to 75 years, who were identified as having clinical atherosclerotic cardiovascular disease (ASCVD) and who remained on a high or moderate-intensity statin medication for at least 80% of the treatment period.
Controlling High Blood Pressure	✓	<b>✓</b>	The percentage of members, ages 18 years or older, who had hypertension and whose blood pressure was adequately controlled during the measurement year based on the following criteria:  1. Members, ages 18 to 59 years, whose blood pressure was <140/90 mm Hg.
			2. Members, ages 60 to 85 years, with a diagnosis of diabetes whose blood pressure was <140/90 mm Hg.
			3. Members, ages 60 to 85 years, without a diagnosis of diabetes whose blood pressure was <150/90 mm Hg.
		Domain: Behavior	al Health – 6 measures
Follow Up after Hospitalization for Mental Illness - 7 days	✓	<b>√</b>	This measure is for members, ages 13 years and older, who were seen in emergency department visits with a principal diagnosis of alcohol or other drug dependence (AOD) and received recommended outpatient follow-up services within the recommended timeframes. There are two time-frame components for this measure, but only the 7-day component is included in the Consumer Guide star rating calculation. This indicator measures the percentage of member who were seen in emergency department (ED) visits with a principal diagnosis of alcohol or other drug dependence (AOD), who had a follow-up visit for AOD within 7 days.
Antidepressant Medication Management- 84 days and 180 days (Composite)	✓	<b>✓</b>	This measure is for members, ages 18 years and older, who were diagnosed with depression and treated with an antidepressant medication. There are two components for this measure described below, but the two indicators are combined as a weighted average to calculate the Consumer Guide star ratings.  1. Effective Acute Phase Treatment: The percentage of members who remained on antidepressant medication during the entire 12-week acute treatment phase.

			Effective Continuation Phase Treatment: The percentage of members who remained on antidepressant medication for at least six months.
Follow-Up Care for Children Prescribed ADHD	✓	<b>√</b>	The percentage of children, ages 6 to 12 years, who were newly prescribed ADHD medication and who had at least three follow-up visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed. There are two measures to assess follow-up care for children taking ADHD medication, but the two indicators are combined as a weighted average for the calculation of the Consumer Guide star rating.
Medication-Initiation and			Initiation Phase: The percentage of children with a new prescription for ADHD medication and who had one follow-up visit with a practitioner within the 30 days after starting the medication.
Continuation (Composite)			2. Continuation & Maintenance Phase: The percentage of children with a new prescription for ADHD medication who remained on the medication for 7 months and who, in addition to the visit in the Initiation Phase, had at least two follow-up visits in the 9-month period after the initiation phase ended.
Adherence to Antipsychotic Medications for People with Schizophrenia	Not Reported	<b>✓</b>	The percentage of members, ages 19 to 64 years, during the measurement year with schizophrenia who were dispensed and remained on an antipsychotic medication for at least 80% of their treatment period.
Initiation and Engagement of Alcohol and Other Drug Dependence Treatment (Composite)	<b>√</b>	<b>✓</b>	The percentage of adolescent and adult members with a new episode of alcohol or other drug (AOD) abuse or dependence who received the following components of care. Two indicators are reported, but the indicators are combined as weighted average to calculate the Consumer Guide star rating.
			1. Initiation of AOD Treatment: The percentage of member who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth, or medication treatment within 14 days of the diagnosis.
			Engagement of AOD Treatment: The percentage of members who initiated treatment and who were engaged in ongoing AOD treatment within 34 days of the initiation visit.
Metabolic Monitoring for Children and Adolescents on Antipsychotics	✓	✓	The percentage of children and adolescents, ages 1 to 17 years, who had two or more antipsychotic prescriptions and had metabolic testing.
		Domain: Experier	nce with Adult Care – 5 measures
Rating of Personal Doctor	✓	<b>√</b>	The percentage of members responding 8, 9, or 10 (on a scale of 0 to 10, where 0 is the worst personal doctor possible and 10 is the best personal doctor) when asked "What number would youuse to rate your personal doctor?"

Getting Care Needed	✓	✓	The percentage of members responding "usually" or "always" when asked a set of questions to identify if they received care they needed. The following questions are contained in this composite:  1. How often was it easy to get the care, tests, or treatment you needed?  2. How often did you get an appointment to see a specialist as soon as you needed?
Rating of Health Plan	✓	<b>√</b>	The percentage of members responding 8, 9 or 10 on a scale of 0 to 10, where 0 is the worst health plan possible and 10 is the best health plan possible.
Rating of Specialist	✓	<b>√</b>	The percentage of members responding 8, 9 or 10 on a scale of 0 to 10, where 0 is the worst health plan possible and 10 is the best health plan possible.
Rating of Health Care	✓	<b>√</b>	The percentage of members responding 8, 9 or 10 on scale of 0 to 10, where 0 is the worst healthcare possible and 10 is the best healthcare possible.
		Domain: Experienc	e with Children's Care – 5 measures
Rating of Personal Doctor	Not Reported	✓	The percentage of parents responding 8, 9, or 10 (on a scale of 0 to 10, where 0 is the worst personal doctor possible and 10 is the best personal doctor) when asked "How would you rate your child's personal doctor?"
Getting Care Needed	Not Reported	✓	The percentage of parents responding "usually" or "always" when asked a set of questions to identify if, in the last 6 months, their child received care they needed. The following questions are contained in this composite:  1. How often was it easy to get appointments with specialists?  2. How often was it easy to get the care, tests, or treatment you thought your child needed through your health plan?
Rating of Health Plan	Not Reported	✓	The percentage of parents responding 8, 9 or 10 (on scale of 0 to 10, where 0 is the worst health plan possible and 10 is the best health plan possible) what number would you use to rate your child's health plan.

Rating of Specialist	Not Reported	<b>√</b>	The percentage of parents responding 8, 9, or 10 (on a scale of 0 to 10, where 0 is the worst specialist possible and 10 is the best specialist possible) when asked "How would you rate the specialist your child sees most often?"
Rating of Health Care	Not Reported	✓	The percentage of parents responding 8, 9 or 10 (on scale of 0 to 10, where 0 is the worst healthcare possible and 10 is the best healthcare possible) when asked "what number would you use to rate all your child's health care in the last 6 months?"

#### **Methods**

The methodology for the 5-star health plan quality ratings is below:

#### Step 1. Prepare data for Scoring

The measure set in this rating system includes 37 measure results. For some measures with more than one indicator, we will follow CMS's weighted average method to average each measure's individual indicator rates and calculate a measure score (see equation below). Indicators with larger denominators will contribute more to the scoring than indicators with smaller denominators<sup>1</sup>.

The weighted average equation is as follows:

$$X = \frac{\sum_{1}^{i} \mathbf{n}_{i} * \mathbf{x}_{i}}{\sum_{1}^{i} \mathbf{n}_{i}}$$

Where X is the final measure score that is the weighted average, xi is the indicator score, and ni is the indicator denominator.

#### **Step 2. Standardize Measure Scores**

Measure results need to be standardized before the calculation of domain scores. Measures that do not meet the minimum denominator size requirement for scoring are excluded from scoring.

Depending on the method of data collection, different statistics are used to create the standardized measure scores. More specifically, z statistic is used for hybrid measures, Nelson's h statistic from analysis of proportion (ANOP) is used for administrative measures, and student's t statistic is used for the CAHPS measures. (See below)

For hybrid measures, the plan's standardized score is calculated using the z-statistic.

$$Standardized \ Score = \frac{(plan \ rate - statewide \ rate)}{\sqrt{statewide \ rate \times (1 - statewide \ rate)}}$$

$$plan \ denominator$$

For administrative measures, the plan's standardized score is calculated using the Nelson's *h* statistic from analysis of proportions (ANOP).

$$Standardized \ Score = \frac{(plan \ rate - \ statewide \ rate)}{\sqrt{statewide \ rate} \times (1 - \ statewide \ rate)} \sqrt{\frac{(statewide \ denominator - \ plan \ denominator)}{statewide \ denominator} \times plan \ denominator}}$$

For satisfaction measures, the plan's standardized score is calculated using the Student's *t* statistics. The statewide rate is the average of the plan rates.

$$Standardized Score = \frac{(plan \, rate - statewide \, rate)}{Standard \, Error}$$

 $<sup>^1</sup> https://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-instruments/QualityInitiativesGenInfo/Downloads/2017\_QRS-Measure\_Technical\_Specifications.pdf$ 

Note that the plan's standardized score for each measure is capped to no more than three times of the average critical value for the domain. If a plan fails to submit valid data for a measure, the plan will be assigned a negative maximum capped value as the standardized score for that measure.

For hybrid measures, the plan's critical value is based on the 95% confidence interval for a normal distribution. The average critical value for each domain is the average of all the measures' critical values in that domain.

#### Critical Value=1.96

For administrative measures, the plan's critical value is based on  $1-\alpha/2$  percentage point of the Student's t distribution with N-n degrees of freedom,

where  $a=1-0.95^n$ , N=the total number of members from all the plans that reported valid data (excluding plans with small sample size), and n=the number of plans that reported valid data for that measure (excluding plans with small sample size). The average critical value for each domain is the average of all the measures' critical values in that domain.

For satisfaction measures, the plan's critical value is based on the 95% confidence interval for the Student's t distribution, with *n*-1 degrees of freedom, where *n*=the number of plans that reported valid data for that measure (excluding plans with small sample size). The average critical value for each domain is the average of all the measures' critical values in that domain.

#### **Step 3. Calculate Domain Scores and Ratings**

Apply the half-scale rule, meaning the domain score can be calculated only if at least half (>= 50%) of the associated measures have a score. If the half-scale is met, the domain score is calculated. A plan's domain score is the average of all the measures' standardized scores within the domain. The domain score is then converted to a t statistic using the mean and standard deviation calculated from all the plans' domain scores (excluding plans with small sample size). This t statistic is used to determine the domain rating stars by the percentile rank inferred from the Student's t distribution (Figure 1). The cut-point values for the 5-star scale are shown in Table 1.

Figure 1. T-Distribution

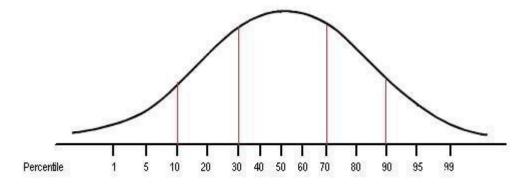


Table 1. Cut-point values for 5-star scale

Percentiles of T-statistic	Ratings
0<= Score Value < 10	1 star
10<= Score Value < 30	2 stars
30<= Score Value < 70	3 stars
70< =Score Value < 90	4 stars
90<= Score Value	5 stars

#### Step 4. Calculate Overall Rating

Apply the half-scale rule, meaning the overall score can be calculated only if at least half (>= 50%) of the associated domains have a score. If the half-scale rule is met, a plans overall rating is calculated. A plan's total number of stars is obtained by the sum of all the domains' stars for that plan divided by the total eligible stars. If a plan does not have a domain score they were assigned the statewide average for these domains to prevent lower overall ratings due to missing information which was beyond the plans' control. The average stars are then converted to a t statistic using the mean and standard deviation calculated from all the health plans' average number of stars. This t statistic is used to determine the plan's overall rating stars by the percentile rank inferred from the Student's t distribution.

#### Limitations

The measures used in this dashboard represent some, but not all of the measures collected from health plans through NYS Quality Assurance Reporting Requirements (QARR). QARR data is collected by health plans and the information is validated by a licensed organization. Only valid information is included in the data. Not all measures are collected each year. Some services require more resource intensive methods of collection, and these measures are often rotated to control collection burden. Measure specification changes and health plan mergers and closures limit the ability to compare measures and/or health plans overtime.

#### **Contact Information**

For more information or questions about this dashboard, please contact <a href="mailto:ny.gov">nysapd@health.ny.gov</a>.